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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/758,165	01/15/2004	Bruce Hammerberg	5051-661	4827

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EXAMINER

HUYNH, PHUONG N

ART UNIT PAPER NUMBER

1644

DATE MAILED: 01/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/758,165

Applicant(s)

HAMMERBERG, BRUCE

Examiner

Phuong Huynh

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 November 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-10 and 17-26 is/are pending in the application.
- 4a) Of the above claim(s) 7-10 and 17-24 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6, 25 and 26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 5/9/05; 1/16/04.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1-10 and 17-26 are pending.
2. Applicant's election with traverse of Group 1, Claims 1-6, and 25-26 drawn to an antibody that specifically binds to mammalian IgE and a kit comprising said IgE, filed 11/14/05, is acknowledged. The traversal is on the grounds that a copy of the International Preliminary Report on Patentability (IPRP) issued in connection with the PCT counterpart of the instant case, indicates that Claims 1-10 and 17-26 which corresponding to the claims of groups I, II and IV herein indicated to meet the requirement of novelty, inventive step and industrial applicability. Applicants respectively request that claims of groups II, III, and IV be considered for rejoinder with claims of group I.

The request for rejoinder of process claims of group II, III and IV with the product claims of group I is acknowledged. However, no product claims are found to be allowable at this time. In response to applicant's statement that PCT counterpart of the instant case indicates that Claims 1-10 and 17-26 which corresponding to the claims of groups I, II and IV herein meet the requirement of novelty, inventive step and industrial applicability, it is noted that this case is US application filing under 37 CFR § 1.53(b). This application is not a PCT entering US filing under 37 CFR § 371 and International Preliminary Report on Patentability (IPRP) issued in connection with the PCT counterpart is irrelevant to instant application. Therefore, the requirement of Group 1 and Groups 2-4 is still deemed proper and is therefore made FINAL.

3. Claims 7-10 and 17-24 are withdrawn from further consideration by the examiner, 37 C.F.R. 1.142(b) as being drawn to non-elected inventions.
4. Claims 1-6 and 25-26, drawn to an antibody that specifically binds to mammalian IgE and a kit comprising said IgE, are being acted upon in this Office Action.
5. Claims 1, 2 and 25 are objected to under 37 CFR 1.821(d) because SEQ ID NO: is required.
6. The disclosure is objected to because of the following informality: "liimited" on page 3, line 5 is misspelled.

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7. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

8. Claims 1-6 and 25-26 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling only for (1) a monoclonal antibody that binds specifically to an epitope on canine IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 1 and 9, (2) a monoclonal antibody that binds specifically to an epitope on cat IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 2 and 10, (3) a monoclonal antibody that binds specifically to an epitope on horse IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 3 and 11, (4) a conjugated monoclonal antibody that binds specifically to an epitope on canine IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 1 and 9 wherein the antibody is conjugated to a detectable group, (5) a conjugated monoclonal antibody that binds specifically to an epitope on cat IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 2 and 10 wherein the antibody is conjugated to a detectable group, (6) a conjugated monoclonal antibody that binds specifically to an epitope on horse IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 3 and 11 wherein the antibody is conjugated to a detectable group, and (7) a test kit comprising the monoclonal antibody mentioned above for detection assay, **does not** reasonably provide enablement for (1) any antibody that specifically binds to any mammalian IgE at any epitope between amino acids 145-166 of any "mammalian IgE", (2) any antibody that specifically binds to IgE at an epitope between amino acids 145-166 of dog IgE, (3) any monoclonal antibody that specifically binds to any mammalian IgE at any epitope between amino acids 145-166 of any "mammalian IgE", (4) any mouse antibody that specifically binds to any mammalian IgE at any epitope between amino acids 145-166 of any "mammalian IgE", (5) any antibody that specifically binds to any mammalian IgE at any epitope between amino acids 145-166 of any "mammalian IgE" coupled to a detectable group, (6) antibody that specifically binds to any mammalian IgE at any epitope between amino acids 145-166 of any "mammalian IgE" coupled to any member of a "specific binding pair", (7) any test kit comprising (a) any monoclonal antibody that specifically binds to an epitope between amino acid positions 356-374 of any mammalian IgE; and (b) any

monoclonal antibody that specifically binds to any epitope between amino acid positions 145-166 of any mammalian IgE, and (8) the test kit comprising (a) any monoclonal antibody that specifically binds to an epitope between amino acid positions 356-374 of any mammalian IgE; and (b) any monoclonal antibody that specifically binds to any epitope between amino acid positions 145-166 of any mammalian IgE wherein at least one of said monoclonal antibodies is coupled to any detectable group. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in **scope** with these claims.

Factors to be considered in determining whether undue experimentation is required to practice the claimed invention are summarized *In re Wands* (858 F2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988)). The factors most relevant to this rejection are the scope of the claim, the amount of direction or guidance provided, the lack of sufficient working examples, the unpredictability in the art and the amount of experimentation required to enable one of skill in the art to practice the claimed invention. The specification disclosure is insufficient to enable one skilled in the art to practice the invention as broadly claimed without an undue amount of experimentation.

The specification discloses only two monoclonal antibodies or conjugated antibodies that bind specifically to an epitope on canine IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 1 and 9. The said monoclonal or conjugated antibodies also bind specifically to an epitope on cat IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 2 and 10. The monoclonal or conjugated antibodies also bind specifically to an epitope on horse IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 3 and 11 for detection assays.

The specification does not teach how to make any antibody that binds to all "mammalian IgE" at an epitope between amino acids 145-166 as set forth in claims 1-6 and 25-26. There is insufficient guidance as to the binding specificity of the claimed antibody because the epitope between amino acids 145-166 among "mammalian" IgEs such as IgE from dog, sheep, mouse, rat, pig and human differs. In fact, the specification at page 9 discloses that monoclonal antibody such as 3.76 that binds specifically to epitope consisting of the amino acids 146-162 of SEQ ID NO: 9 fails to bind to the same region in IgE from pig, sheep, mouse, rat or human. Likewise, the same reasons apply to monoclonal antibody that specifically binds to an epitope between amino

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acid positions 356-374 of all mammalian IgE (claim 25). Given that the amino acids residues between 145-166 and 356-374 of IgE from mammals such as human, pig, rat, dog, mouse, sheep, horse, cat, dog differ among the species of mammal, there is insufficient guidance as to the structure of the immunogen used to make antibody that specifically binds to all "mammalian IgE" at an epitope between said amino acids.

Further, there is insufficient working example demonstrating that immunizing any peptide would produce antibody that binds to all mammalian IgE between amino acids 356-374 or between amino acid positions 145-166 of any and all mammalian IgE.

Kuby *et al* teach that antibody epitopes (B cell epitopes) are not linear and are comprised of complex three-dimensional array of scattered residues which will fold into specific conformation that contribute to binding (See Kuby 1994, page 94, in particular). Immunization with a peptide fragment derived from a full-length polypeptide may result in **antibody specificity** that differs from the antibody specificity directed against the native full-length polypeptide. Without the structure or amino acid sequence of the immunogen, it is unpredictable which antibody would bind specifically to an epitope between amino acids 145-166 or 356-374 of all mammalian IgE. Since the binding specificity of antibodies are not enabled, it follows that a test kit comprising the undisclosed antibodies and method of using said antibodies are not enabled.

For these reasons, it would require undue experimentation of one skilled in the art to practice the claimed invention. See page 1338, footnote 7 of *Ex parte Aggarwal*, 23 USPQ2d 1334 (PTO Bd. Pat App. & Inter. 1992).

In re wands, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988), the decision of the court indicates that the more unpredictable the area is, the more specific enablement is necessary. In view of the quantity of experimentation necessary, the limited working examples, the unpredictability of the art, the lack of sufficient guidance in the specification and the breadth of the claims, it would take an undue amount of experimentation for one skilled in the art to practice the claimed invention.

9. Claims 1-6 and 25-26 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor, at the time the application was filed, had possession of the claimed invention.

The specification does not reasonably provide a **written description** of (1) any antibody, any antibody such as any monoclonal or mouse antibody that binds specifically to any “mammalian IgE” at any epitope wherein said epitope is between amino acids 145-166 of any “mammalian IgE”, (2) any antibody such as any monoclonal or mouse antibody that binds specifically to any mammalian IgE at any epitope wherein said epitope is between amino acids 145-166 that is coupled to a detectable group, or any member of a specific binding pair, (3) any test kit comprising any monoclonal antibody that specifically binds to any epitope between amino acid positions 356-374 or between amino acid positions 145-166 of any mammalian IgE, and (4) any test kit comprising any monoclonal antibody that specifically binds to any epitope between amino acid positions 356-374 or between amino acid positions 145-166 of any mammalian IgE wherein at least one of said monoclonal antibodies is coupled to any detectable group.

The specification discloses only two monoclonal antibodies or conjugated antibodies that bind specifically to an epitope on canine IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 1 and 9. The said monoclonal or conjugated antibodies also bind specifically to an epitope on cat IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 2 and 10. The monoclonal or conjugated antibodies also bind specifically to an epitope on horse IgE wherein the epitope consisting of the amino acid sequence selected from the group consisting of SEQ ID NO: 3 and 11 for detection assays.

With the exception of the specific two antibodies mentioned above for detection assays, there is insufficient written description about the binding specificity of any other antibodies that bind to any epitope between amino acids 145-166 or 356-374 of all “mammalian IgE”. There is insufficient written description about the “epitope” between amino acids 145-166 or 356-374 of all “mammalian” IgE other than dog, cat and horse IgE to which the claimed antibody binds. This is because the epitope between amino acids 145-166 of dog IgE is different from the epitope between amino acids 145-166 of human IgE, or whale for example.

The specification discloses only two canine IgE antibodies that bind specifically to dog IgE at an epitope consisting of the amino acid sequence of SEQ ID NO: 1 or 9 that cross react with only cat IgE (SEQ ID NO: 2 or SEQ ID NO: 10) and horse IgE (SEQ ID NO: 3 or SEQ ID NO: 11), one of skill in the art would reasonably conclude that the disclosure fails to provide a representative number of species of antibodies to describe the genus. Thus, Applicant was not in

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possession of the claimed genus. *See University of California v. Eli Lilly and Co.* 43 USPQ2d 1398; *University of Rochester v. G.D. Searle & Co.*, 69 USPQ2d 1886 (CA FC2004).

Applicant is directed to the Final Guidelines for the Examination of Patent Applications Under the 35 U.S.C. 112, ¶ 1 "Written Description" Requirement, Federal Register, Vol. 66, No. 4, pages 1099-1111, Friday January 5, 2001.

10. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

11. Claims 1-6 and 25-26 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The "145-166 of mammalian IgE" in claims 1 and 25 is ambiguous and indefinite because it is not clear the amino acids residues 145-166 is from which mammalian IgE, the corresponding amino acid sequence (SEQ ID NO:) to which the claimed antibody binds. One of ordinary skilled in the art cannot appraise the metes and bound of the claimed invention.

The "356-374 of mammalian IgE" in claim 25 is ambiguous and indefinite because it is not clear the amino acids residues 356-374 is from which mammalian IgE, the corresponding amino acid sequence (SEQ ID NO:) to which the claimed antibody binds. One of ordinary skilled in the art cannot appraise the metes and bound of the claimed invention.

12. Applicant's claim for domestic priority under 35 U.S.C. 119(e) is acknowledged. However, the provisional application 60/440,472 upon which priority is claimed fails to provide a written support for the specific IgE epitope "145-166" or a kit comprising (a) monoclonal antibody that specifically binds to an epitope between amino acid positions "356-374" of mammalian IgE and (b) a monoclonal antibody that specifically binds to an epitope between amino acid positions "145-166" of "mammalian IgE" to which the claimed antibody binds in claims 1-6 and 25-26 of instant application.
13. No claim is allowed.

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14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuong Huynh "NEON" whose telephone number is (571) 272-0846. The examiner can normally be reached Monday through Friday from 9:00 am to 5:30 p.m. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (571) 272-0841. The IFW official Fax number is (571) 273-8300.
15. Any information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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